'Express Mail Label No.	EK435394825US	Attorney Docket No. T5986.PCT.US
Applicant or Patentee:	Natalya RAP(	OPORT and William G. PITT
Attorney:	Alan J. Howa	arth
Filed or Issued:		
For:	ACOUSTICALLY	Y ACTIVATED LOCALIZED DRUG DELIVERY
	rus (37 CFR	ARATION) CLAIMING SMALL ENTITY 1.9 (f) and 1.27 (d)) IT ORGANIZATION
I hereby declare nonprofit organization		official empowered to act on behalf of the ow:
NAME OF ORGANIZAT	NION:	University of Utah Research Foundation
ADDRESS OF ORGANI	ZATION:	210 Park Building Salt Lake City, Utah 84112
TYPE OF ORGANIZAT	CION:	
( ) Tax and ( ) Nonp The (Nam	exempt under I 501(c) (3)) profit scientif United States me of state	er institution of higher education Enternal Revenue Service Code (26 USC 501(a)  Fic or educational under statute of state of of America
as a nonprofit organiza reduced fees under sect regard to the invention	tion as define ion 41(a) and entitled ACOU	rofit organization identified above qualifies ed in 37 CFR 1.9(e) for purposes of paying (b) of Title 35, United States Code with USTICALLY ACTIVATED LOCALIZED DRUG DELIVERY cliam G. PITT described in
<pre>( x ) the specif ( ) applicatio ( ) patent no.</pre>	on serial no.	herewith, filed
	that rights un	nder contract or law have been conveyed to ation with regard to the above identified
<pre>individual, concern or below* and no rights to inventor, who could not</pre>	organization ho the invention of qualify as a	rofit organization are not exclusive, each laving rights to the invention is listed are held by any person, other than the small business concern under 37 CFR 1.9(d) alify as a small business concern under 37

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR

1.27)

FULL NAME \_ **ADDRESS** 

Brigham Young University

CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

A-268 ASB, Brigham Young University, Provo, Utah 84602

( ) Individual ( ) Small Business Concern (X) Nonprofit Organization

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( ) Small Business Concern ( ) Nonprofit Organization FULL NAME ADDRESS

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Richard K. Koehn

TITLE IN ORGANIZATION:

University of Utah Research Foundation

ADDRESS OF PERSON SIGNING: 210 Park Building Salt Lake City, Utah 84112

Signature

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Attorney Docket No. T5986.PCT.US

	Attorney Docket No. 1999
	Express Mail Label No. EK435394825US
	PAROPORT and William
	Applicant or Patentee: Natalya RAFOROM Alan J. Howarth
	Alan o
	J. DELIVERT
	ACOUSTICALLY ACTIVATED OF A TMING SMALL ENTITY
	For: (DECLARATION) CLAIMING STREET
	For:  VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  STATUS (37 CFR 1.9 (f) and 1.27 (d))  STATUS (37 CFR 1.9 (F) CREATION)
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	NONPROFIT Official of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on behalf of the I hereby declare that I am an official empowered to act on the I hereby declare that I am an official empowered to act on the I hereby declare that I am an official empowered to act on the I hereby declare that I am an official empowered to act on the I hereby declared th
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	NAME OF ORGANIZATION.
	ADDRESS OF ORGANIZATION: 615 Arapeen Drive, Salt Lake City, Utah 84108
	ADDRESS OF ORGINE Salt have 92-1.
	77011
	TYPE OF ORGANIZATION:  University or other institution of higher education  University or other institution of higher education  (x)  University under Internal Revenue Service Code (26 USC 501(a)
	University or other institution of the Service Code (26 USC 301(4)
	TYPE OF ORGANIZATION  (x)  University or other institution of higher education  (x)  Tax exempt under Internal Revenue Service Code (26 USC 501(a))  (x)  Tax exempt under Internal under statute of state of
	and 501(c) (37)
	The linited states
	(Name of state
the first than the the test	I hereby declare that the nonprofit organization identified and as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41(a) and (b) of Title 35, United States Code with reduced fees under section 41
: 52 <sup>1</sup>	(x) the specification filed herewith.  (x) the specification serial no, filed
	(x) the specification filed herewith.  ( ) application serial no, issued  ( ) patent no, issued  I hereby declare that rights under contract or law have been conveyed to the proposition organization with regard to the above identified
	I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed of I hereby declare that rights under contract or law have been conveyed or law have been convey
	I hereby declare that regard to the nonprofit organization with regard to
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	invention.
	and remain with the hompeon invention.  If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed individual, concern or organization are held by any person, other than the below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 inventor, who could not qualify as a small business concern under 37 or by any concern which would not qualify as a small business concern under 37 or by any concern which would not qualify as a required from each concern under 37 or a nonprofit organization under 37 cfr 1.9(e).
	Tarifo Warlifed Scare Tarifo rights to the
	named person, concern or organization and entities. (37 CFR
	invention avoidable
	1.27)
	FULL NAME Brigham Young University, Provo, Utah 84602
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FULL NAME
ADDRESS

( ) Individual ( ) Small Business Concern ( ) Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Marjorie D. Hunter

TITLE IN ORGANIZATION:

Senior Licensing Manager

Technology Transfer Office

ADDRESS OF PERSON SIGNING:

615 Arapeen Drive, Suite 110 Salt Lake City, Utah 84108

Marjan D. How

Signature

Date

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Express Mail Label No. EK4353948	Attorney Docket No. T5986.PCT.US				
Applicant or Patentee: Natalya Attorney: Alan J. Filed or Issued:					
	CALLY ACTIVATED LOCALIZED DRUG DELIVERY				
STATUS (37	ECLARATION) CLAIMING SMALL ENTITY CFR 1.9 (f) and 1.27 (d)) ROFIT ORGANIZATION				
I hereby declare that I am nonprofit organization identified	an official empowered to act on behalf of the delow:				
NAME OF ORGANIZATION:	Brigham Young University				
ADDRESS OF ORGANIZATION:	A-268 ASB, Brigham Young University Provo, Utah 84602				
TYPE OF ORGANIZATION:					
<pre>( x ) University or other institution of higher education ( ) Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) ( ) Nonprofit scientific or educational under statute of state of The United States of America</pre>					
I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled ACOUSTICALLY ACTIVATED LOCALIZED DRUG DELIVERY by inventors Natalya RAPOPORT and William G. PITT described in					
<pre>( x ) the specification f ( ) application serial ( ) patent no.</pre>	iled herewith. no, filed, issued				
	ts under contract or law have been conveyed to ganization with regard to the above identified				
If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).					
named person, concer	rified statements are required from each on or organization having rights to the to their status as small entities. (37 CFR				
FULL NAME University of Utah Re					
ADDRESS - 210 Park Building - Salt Lake City, Utah 84112  ( ) Individual ( ) Small Business Concern (X) Nonprofit Organization					

FULL NAME	****		
ADDRESS ·		i e e	
	( ) Individual ( )	Small Business Concern	( ) Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Gary R. Hooper

TITLE IN ORGANIZATION:

Associate Academic Vice President

Technology Transfer Office

ADDRESS OF PERSON SIGNING:

A-268 ASB, Brigham Young University

Provo, Utah 84602

Signature

16 March 2000

Date

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## DECLARATION, POWER OF ATTORNEY AND PETITION

We, NATALYA RAPOPORT and WILLIAM G. PITT, declare: that we are citizens of the United States of America; that our residences and post office addresses are 8444 South 1275 East, Sandy, Utah 84094; and 85 West 1565 North, Orem, Utah 84057, respectively; that we verily believe we are the original, first, and joint inventors of the subject matter of the invention or discovery entitled ACOUSTICALLY ACTIVATED LOCALIZED DRUG DELIVERY, for which a patent is sought and which is described and claimed in the specification attached hereto; that we have reviewed and understand the contents of the above-identified specification, including the claims; and that we acknowledge the duty to disclose information which is material to the examination of this application in accordance with Section 1.56(a) of Title 37 of the Code of Federal Regulations.

We hereby claim the benefit under 3 U.S.C. §365(c) of PCT Application Serial No. PCT/US98/20046 filed on September 23, 1998, which claimed priority to U.S. Provisional Application, Serial No. 60/059,774, filed September 23, 1997. Insofar as the subject matter of the claims of this application is not disclosed in the prior PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, we acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. §1.56 that becomes available between the filing date

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of the prior application an the PCT international filing date of this application.

We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

We hereby appoint as our attorneys, GRANT R. CLAYTON, Registration No. 32,462; ALAN J. HOWARTH, Registration No. 36,553; KARL R. CANNON, Registration No. 36,468; and BRETTON L. CROCKETT, Registration No. 44,632, all with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence to:

Customer No. 22477

All telephonic communications should be directed to:

ALAN J. HOWARTH

Telephone: (801) 255-5335

Facsimile: (801) 256-2043

Please reference the below provided attorney docket number in all communications:

## T5986.PCT.US

Wherefore, we pray that Letters Patent be granted to us for the invention or discovery described and claimed in the foregoing specification and claims, declaration, power of attorney, and this petition.

Signed at  $\frac{Salt Lake City}{(City)}$ ,  $\frac{UT}{(State)}$ , this day of  $\frac{March}{}$ , 2000.

N. Ropoput
NATALYA RAPOPORT

Signed at  $\frac{Salt \ Lake \ C'_i + \gamma}{(City)}$ ,  $\frac{Mtah}{(State)}$ , this

21 day of March, 2000.

WILLIAM G. PITT

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